



TRW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

:

Peggy STUDER et al.

: Confirmation No. 4754

Serial No. 10/537,546

: Mail Stop: PCT BRANCH

Filed July 13, 2005

: Attorney Docket No. 2005\_0893A

CROSSLINKABLE, PHOTOACTIVE  
POLYMERS AND THEIR USE

:

**SECOND REQUEST FOR CORRECTED FILING RECEIPT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEE FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975.

Sir:

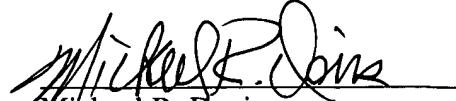
Enclosed herewith is a copy of the Official Filing Receipt for the above-identified application, marked up to indicate a correction to be made thereto. Specifically, the third inventor is from Frenkendorf, Switzerland (rather than Frenkendorf, Germany), as indicated in the Declaration of the above-identified application, a copy of which is enclosed.

Accordingly, it is requested that the PTO issue a Corrected Filing Receipt reflecting this change.

Respectfully submitted,

Peggy STUDER et al.

By:

  
Michael R. Davis  
Registration No. 25,134  
Attorney for Applicants

MRD/pth  
Washington, D.C. 20006-1021  
Telephone (202) 721-8200  
Facsimile (202) 721-8250  
September 6, 2006

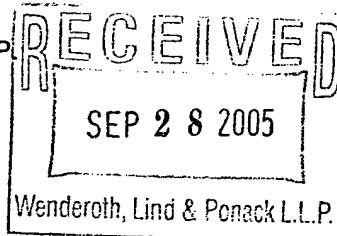


# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/537,546	07/13/2005	2871	1530	2005-0893A		26	4

00513  
WENDEROTH, LIND & PONACK, L.L.P.  
2033 K STREET N. W.  
SUITE 800  
WASHINGTON, DC 20006-1021



**CONFIRMATION NO. 4754**

**FILING RECEIPT**



\*OC000000017045582\*

Date Mailed: 09/22/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Peggy Studer, Huningue, FRANCE;  
Patrick Scheifele, Therwil, SWITZERLAND;  
Richard Stossel, Frenkendorf, GERMANY; SWITZERLAND  
Yonetatsu Matsumoto, Kobe, JAPAN;  
Stefan Barny, Oberwil, SWITZERLAND;

**Power of Attorney:** The patent practitioners associated with Customer Number **00513**.

**Domestic Priority data as claimed by applicant**

This application is a 371 of PCT/EP03/50926 12/02/2003

**Foreign Applications**

SWITZERLAND 2074/02 12/06/2002  
SWITZERLAND 1095/03 06/23/2003

**Projected Publication Date:** 12/29/2005

**Non-Publication Request:** No

**Early Publication Request:** No

**Title**

Crosslinkable, photoactive polymers and their use

**Preliminary Class**

349

## **PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

---

### **LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15**

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



P2062US

Rev. 5/30/01

Effective March 1998

## DECLARATION AND POWER OF ATTORNEY FOR U.S. PATENT APPLICATION

(X) Original ( ) Supplemental ( ) Substitute ( ) PCT ( ) Design

As a below named inventor, I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that I verify believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

### Title: CROSSLINKABLE, PHOTOACTIVE POLYMERS AND THEIR USE

of which is described and claimed in:

( ) the attached specification, or  
 ( ) the specification in the application Serial No. \_\_\_\_\_, filed \_\_\_\_\_  
 and with amendments through \_\_\_\_\_ (if applicable), or

(X) the specification in International Application No. PCT/EP2003/050926, filed 2 December 2003, and as amended  
 on (if applicable).

I hereby state that I have reviewed and understand the content of the above-identified specification, including the claims, as amended by any amendment(s) referred to above.

I acknowledge my duty to disclose to the Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, '1.56.

I hereby claim priority benefits under Title 35, United States Code, '119 (and '172 if this application is for a Design) of any application(s) for patent or inventor's certificate listed below and have also identified below any application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

COUNTRY	APPLICATION NO.	DATE OF FILING	PRIORITY CLAIMED
Switzerland	2074/02	6 December 2002	yes
Switzerland	1095/03	23 June 2003	yes

I hereby claim the benefit under Title 35, United States Code '120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code '112, I acknowledge the duty to disclose information material to patentability as defined in Title 37, Code of Federal Regulations, '1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

APPLICATION SERIAL NO.	U.S. FILING DATE	STATUS: PATENTED, PENDING, ABANDONED

And I hereby appoint Michael R. Davis, Reg. No. 25,134; Matthew M. Jacob, Reg. No. 25,154; Warren M. Check, Jr., Reg. No. 33,367; Nils Pedersen, Reg. No. 33,145; Charles R. Watts, Reg. No. 33,142; and Michael S. Huppert, Reg. No. 40,268, who together constitute the firm of WENDEROTH, LIND & PONACK, L.L.P., as well as any other attorneys and agents associated with Customer No. 000513, to prosecute this application and to transact all business in the U.S. Patent and Trademark Office connected therewith.

I hereby authorize the U.S. attorneys and agents named herein to accept and follow instructions from \_\_\_\_\_, as to any action to be taken in the U.S. Patent and Trademark Office regarding this application without direct communication between the U.S. attorneys and myself. In the event of a change in the persons from whom instructions may be taken, the U.S. attorneys named herein will be so notified by me.

P2062US

Direct Correspondence to Customer No:   000513 PATENT TRADEMARK OFFICE	Direct Telephone Calls to:  WENDEROTH, LIND & PONACK, LLP 2033 K Street, N.W., Suite 800 Washington, D.C. 20006-1021 Phone: (202) 721-4200 Fax: (202) 721-4250
---	--

Full Name of First Inventor	FAMILY NAME Studer	FIRST GIVEN NAME Peggy	SECOND GIVEN NAME
Residence & Citizenship	CITY Huningue	STATE OR COUNTRY France	COUNTRY OF CITIZENSHIP France
Post Office Address	ADDRESS 13 rue du Président Wilson	CITY Huningue	STATE OR COUNTRY ZIP CODE France 68330

Full Name of Second Inventor	FAMILY NAME Scheifele	FIRST GIVEN NAME Patrick	SECOND GIVEN NAME
Residence & Citizenship	CITY Therwil	STATE OR COUNTRY Switzerland	COUNTRY OF CITIZENSHIP Switzerland
Post Office Address	ADDRESS Witterswilerfeldweg 35	CITY Therwil	STATE OR COUNTRY ZIP CODE Switzerland 4106

Full Name of Third Inventor	FAMILY NAME Stössel	FIRST GIVEN NAME Richard	SECOND GIVEN NAME
Residence & Citizenship	CITY Frenkendorf	STATE OR COUNTRY Switzerland	COUNTRY OF CITIZENSHIP Switzerland
Post Office Address	ADDRESS Rösernstrasse 38	CITY Frenkendorf	STATE OR COUNTRY ZIP CODE Switzerland 4402

Full Name of Fourth Inventor	FAMILY NAME Matsumoto	FIRST GIVEN NAME Yonetatsu	SECOND GIVEN NAME
Residence & Citizenship	CITY Kobe	STATE OR COUNTRY Japan	COUNTRY OF CITIZENSHIP Japan
Post Office Address	ADDRESS 2-2-33 Mikatadai, Nishi-ku	CITY Kobe	STATE OR COUNTRY ZIP CODE Japan 651-2277

Full Name of Fifth Inventor	FAMILY NAME Barny	FIRST GIVEN NAME Stefan	SECOND GIVEN NAME
Residence & Citizenship	CITY Oberwil	STATE OR COUNTRY Switzerland	COUNTRY OF CITIZENSHIP Switzerland
Post Office Address	ADDRESS Stallenmattstrasse 5	CITY Oberwil	STATE OR COUNTRY ZIP CODE Switzerland 4104

Full Name of Sixth Inventor	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
Residence & Citizenship	CITY	STATE OR COUNTRY	COUNTRY OF CITIZENSHIP
Post Office Address	ADDRESS	CITY	STATE OR COUNTRY ZIP CODE

P2062US

I further declare that all statements made herein of my own knowledge are true, and that all statements on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

1st Inventor Peggy STUDER Date 15/06/05

2nd Inventor Patrick SCHEIFFELLE Date 25.06.2005

3rd Inventor Richard STÖSSEL Date 20.6.2005

4th Inventor Yonetatsu MATSUMOTO Date 26.05.2005

5th Inventor Stefan BARNI Date 13.6.05

The above application may be more particularly identified as follows:

U.S. Application Serial No. \_\_\_\_\_ Filing Date \_\_\_\_\_

Applicant Reference Number \_\_\_\_\_ Atty Docket No. \_\_\_\_\_

Title of Invention \_\_\_\_\_